



Reinforcement Learning as a Parsimonious Alternative to Prediction Cascades

Bharat Srikishan, Anika Tabassum, Srikanth Allu, Ramakrishnan Kannan, Nikhil Muralidhar

Problem and Motivation

- Deep learning (DL) based models are effective but often come with increased computational cost and high memory requirements.
- DL combined with Internet of Things (IoT), healthcare, and smart manufacturing means large models are infeasible due to their high computation requirements.
- Previous work has proposed decision cascades (Wang et al. 2017).
- But cascaded architectures lead to wasted intermediate computation.
- A more flexible and cost-aware approach can efficiently balance cost with performance.



Application: Battery Manufacturing

- Lithium-ion batteries are used in many applications (smartphones, cars, etc)
- The electrode coating of these batteries consist of different material types
- Manufacturing imperfections cause pores to form
- Identifying the pores and materials can determine the quality of the battery
- Researchers have created DL models like MatPhase (Tabassum et al. 2022) to identify materials in battery CT images
- These models are large and expensive to run

PaSeR: Parsimonious Segmentation with RL

 Flexible, cost-aware reinforcement learning (RL) based pipeline as an alternative to a cascaded architecture



PaSeR Reward Function

• Assuming m+1 task models

$$\{f_0, f_1, \ldots, f_m\}$$

PaSeR optimizes the reward function

$$R(\mathbf{a}) = \sum_{j=0}^{P} (1-\lambda) A(\hat{\mathbf{y}}_{f_{a_j}}^{(p_j)}, \hat{\mathbf{y}}_{f_0}^{(p_j)}) - \lambda C(f_{a_j})$$

PaSeR Accuracy Function

• For segmentation our accuracy function is:

$$A(\hat{\mathbf{y}}_{f_{a_j}}^{(p)}, \hat{\mathbf{y}}_{f_0}^{(p)}) = IoU(\hat{\mathbf{y}}_{f_{a_j}}^{(p)}, \mathbf{y}^{(p)}) - IoU(\hat{\mathbf{y}}_{f_0}^{(p)}, \mathbf{y}^{(p)})$$

Intersection over Union (IoU):

$$IoU(A,B) = \frac{|A \cap B|}{|A \cup B|}$$
 $IoU = \frac{Area \text{ of Overlap}}{Area \text{ of Union}}$

PaSeR Cost Function

• Our cost function is:

 $C(f_i) = \frac{\text{numParams}(f_i)}{\sum_{j=1}^{m} \text{numParams}(f_j)}$

- Use λ to trade-off performance and computation.

$$R(\mathbf{a}) = \sum_{j=0}^{P} (1-\lambda) A(\hat{\mathbf{y}}_{f_{a_j}}^{(p_j)}, \hat{\mathbf{y}}_{f_0}^{(p_j)}) - \lambda C(f_{a_j})$$

Monte-Carlo Dropout Entropy Estimation

- Use Monte Carlo Dropout for entropy estimation
- PaSeR works well with even a small number of samples



Battery Phase Segmentation Results

 We introduce a novel metric called IoU/GigaFlop: the ratio of segmentation performance to computational cost in GigaFlops.



Battery Phase Segmentation Results

 PaSeR outperforms all baselines on the IoU/GigaFlop metric by a minimum of 174% and is within 8% of the best model in terms of IoU.

Model	Battery		
	IoU	Flops	IoU/GigaFlop
Matphase (Tabassum et al. 2022)	0.8144	$2.11 imes 10^{12}$	$0.39 imes 10^{-3}$
DeepLabV3+ (Chen et al. 2018b)	0.7817	$1.55 imes 10^{12}$	$0.51 imes 10^{-3}$
SegFormer (Xie et al. 2021)	0.7692	$5.84 imes10^{11}$	$1.32 imes 10^{-3}$
EfficientViT (Cai et al. 2022)	0.7765	$4.34 imes 10^{11}$	$1.79 imes10^{-3}$
IDK-Cascade (Wang et al. 2017)	0.6987	$4.20 imes10^{11}$	$1.66 imes 10^{-3}$
PaSeR-RandPol.	0.7234	$5.33 imes 10^{11}$	$1.36 imes 10^{-3}$
PaSeR (ours)	0.7426	$1.51 imes 10^{11}$	$4.91 imes10^{-3}$

Adaptability to Complementary Models - MNIST

- PaSeR adapts to complementary models
- Add noise to MNIST dataset
- Train each model on its own noise type



Adaptability - MNIST

- PaSeR has nearly perfect assignment
- IDK Cascade model makes more mistakes



Noisy MNIST IoU/GigaFlop Results

PaSeR outperforms all baselines in terms of IoU/GigaFlop and achieves an IoU that is within 2.3% of the best performing model.

Model	Noisy MNIST		
	IoU	Flops	IoU/GigaFlop
Matphase (Tabassum et al. 2022)		_	_
DeepLabV3+ (Chen et al. 2018b)	0.8459	$2.07 imes10^{13}$	4.08×10^{-5}
SegFormer (Xie et al. 2021)	0.8448	$7.56 imes10^{12}$	1.12×10^{-4}
EfficientViT (Cai et al. 2022)	0.8344	$3.72 imes10^{14}$	$2.24 imes 10^{-6}$
IDK-Cascade (Wang et al. 2017)	0.7750	$1.15 imes 10^{13}$	$6.73 imes 10^{-5}$
PaSeR-RandPol.	0.6376	$7.05 imes10^{12}$	$9.05 imes 10^{-5}$
PaSeR (ours)	0.8231	$6.51 imes 10^{12}$	$1.27 imes10^{-4}$

Conclusion

- We develop a novel, computationally parsimonious RL based model to balance computational cost with task performance.
- Experiments on battery phase segmentation data and noisy MNIST data show that PaSeR yields competitive performance with SOTA segmentation models while also having the highest IoU/GigaFlop.
- We demonstrate the flexibility of the PaSeR RL policy to adapt to task models with complementary strengths.
- We introduce a **novel metric IoU/GigaFlop** which measures the segmentation performance obtained per GigaFlop of computation expended.
- Our code is located at: <u>https://github.com/scailab/paser</u>

References

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Thank You!

Application: Battery Manufacturing

- Lithium-ion batteries are used in many industrial applications.
- The electrode coating of these battery cathode consists of active materials and polymeric binders.
- Due to the imperfections during manufacturing, small pores are also present.
- Finding phase transitions of these active materials, binders, and pores helps to estimate the overall quality of the battery.
- Researchers have created DL models like MatPhase (Tabassum et al. 2022) which use low resolution CT images of the battery to identify the composite battery materials.
- This model is computationally expensive to run at inference time.

X-ray Nano-CT Image



Cheaper X-ray Micro-Tomography Image poses for harder / **significantly less accurate reconstruction**

Reinforcement Learning as a Parsimonious Alternative to Prediction Cascades

- Recent advances in Deep Learning (DL) have lead to state of the art (SOTA) performance on computer vision tasks such as object detection, classification, and image segmentation.
- Many of these modern DL models are large (over-parameterized) and monolithic.
- While these large models lead to better performance, they are computationally expensive and memory intensive even during inference.
- In settings such as smart manufacturing, we cannot afford to always run such large models on the factory floor.
- For many modern applications, we have a hierarchy of compute where efficient small models are locally available while large models are in the cloud.